Emerging Issues in Foreign Corrupt Practices Act (FCPA) Enforcement

featuring keynote speaker Leslie R. Caldwell, JD ‘87

CLE PANELS INCLUDE:
- Emerging Legal Issues in Multi-Jurisdictional Enforcement Actions
- How to Improve Efficiency and Control Costs in Internal Investigations
- How to Qualify for Benefits Under the New FCPA Corporate Enforcement Policy
- Challenges Maintaining Attorney-Client Privilege in Anti-Corruption Enforcement Actions (One hour of Ethics CLE)
- Working Effectively with Forensic Accountants

Friday, March 9, 2018 | 8 a.m.–4 p.m.
Jacob Burns Moot Court Room
The George Washington University Law School | Washington, D.C.

CLE INFORMATION AVAILABLE AT www.law.gwu.edu/FCPAEnforcement.
<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>8:00 – 8:45 am</td>
<td>Conference Registration</td>
</tr>
<tr>
<td>8:45 – 9:00 am</td>
<td>Welcome</td>
</tr>
<tr>
<td></td>
<td>Roger Fairfax, Jeffrey &amp; Martha Kohn Senior Associate Dean for</td>
</tr>
<tr>
<td></td>
<td>Academic Affairs and Research Professor of Law</td>
</tr>
<tr>
<td></td>
<td>Director, GW Criminal Law Initiative, The George Washington</td>
</tr>
<tr>
<td></td>
<td>University Law School</td>
</tr>
<tr>
<td></td>
<td>Jessica Tillipman, Assistant Dean for Field Placement &amp; Professorial</td>
</tr>
<tr>
<td></td>
<td>Lecturer in Law, The George Washington University Law School</td>
</tr>
<tr>
<td>9:00 – 10:00 am</td>
<td>Emerging Legal Issues in Multi-Jurisdictional Enforcement Actions</td>
</tr>
<tr>
<td></td>
<td>Moderator: Bill Steinman, Partner, Steinman &amp; Rodgers</td>
</tr>
<tr>
<td></td>
<td>Speakers:</td>
</tr>
<tr>
<td></td>
<td>● Tom Firestone, Partner, Baker &amp; McKenzie</td>
</tr>
<tr>
<td></td>
<td>● Amy Jeffress, Partner, Arnold &amp; Porter Kay Scholer</td>
</tr>
<tr>
<td>10:00 – 10:15 am</td>
<td>Refreshments</td>
</tr>
<tr>
<td>10:15 – 11:15 am</td>
<td>How to Improve Efficiency and Control Costs in Internal Investigations</td>
</tr>
<tr>
<td></td>
<td>Moderator: Andrew Spalding, Professor of Law, University of</td>
</tr>
<tr>
<td></td>
<td>Richmond School of Law</td>
</tr>
<tr>
<td></td>
<td>Speakers:</td>
</tr>
<tr>
<td></td>
<td>● Kwame Manley, Partner, Paul Hastings</td>
</tr>
<tr>
<td></td>
<td>● William Jacobson, Partner, Orrick</td>
</tr>
<tr>
<td></td>
<td>● Kimberly Parker, Partner, Wilmer Hale</td>
</tr>
<tr>
<td>Time</td>
<td>Session</td>
</tr>
<tr>
<td>--------------</td>
<td>-------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| 11:15 – 12:15 pm | **How to Qualify for Benefits Under the New FCPA Corporate Enforcement Policy**  
Moderator: Jessica Tillipman, Assistant Dean for Field Placement & Professorial Lecturer in Law, *The George Washington University Law School*  
Speakers:  
- Mark Mendelsohn, Partner, *Paul Weiss*  
- Cheryl Scarboro, Partner, *Simpson Thacher*  
- Chuck Duross, Partner, *Morrison Foerster* |
| 12:15 – 1:30 pm | *Lunch – GW Law Library Great Room*  
*Key Note Address:* Leslie Caldwell, Partner, *Latham & Watkins LLP* |
| 1:45 – 2:45 pm | **Challenges Maintaining Attorney-Client Privilege in Anti-Corruption Enforcement Actions**  
Moderator: Laura Perkins, Partner, *Hughes Hubbard & Reed*  
Speakers:  
- Edward Kang, Partner, *Alston & Bird*  
- Richard Grime, Partner, *Gibson Dunn*  
- Obiamaka Madubuko, Shareholder, *Greenberg Traurig* |
| 2:45 – 3:00 pm | *Refreshments* |
| 3:00 – 4:00 pm | **Working Effectively with Forensic Accountants**  
Moderator: James Tillen, Vice Chair International Department, *Miller & Chevalier*  
Speakers:  
- Jean-Michel Ferat, Senior Managing Director, *Ankura Consulting Group, LLC*  
- Frances Mcleod, Founding Partner, *Forensic Risk Alliance*  
- Tamika Tremaglio, Principal, *Deloitte* |
Leslie Caldwell is a partner in the San Francisco and Silicon Valley offices of Latham & Watkins and is a member of the Litigation & Trial Department and White Collar Defense & Investigations Practice.

Ms. Caldwell has broad experience advising companies, individual executives, and boards of directors in a wide range of matters, with a particular emphasis on government enforcement and regulatory matters. She has built a national reputation as a trusted advisor regarding crisis management, policy development, and regulatory and enforcement matters. She focuses on white-collar criminal defense, Securities and Exchange Commission (SEC) and other agency enforcement matters, internal board and corporate investigations, and crisis management.

Prior to joining Latham, Ms. Caldwell served for nearly three years in the Obama Administration as Assistant Attorney General (AAG) for the Department of Justice’s (DOJ) Criminal Division. In that role, for which she was nominated by President Obama and unanimously confirmed by the Senate, Ms. Caldwell led more than 600 lawyers charged with handling a wide range of criminal enforcement matters. She also oversaw development of DOJ policy, legislative, and law enforcement issues. Among the 18 Sections that Ms. Caldwell oversaw were the Fraud, Money Laundering and Asset Recovery, Computer Crime and Intellectual Property Sections, and the Office of International Affairs. She also worked closely with her counterparts at the DOJ Antitrust, Civil, Environment and Natural Resources, National Security, and Tax Divisions, and with leaders at the SEC, Commodity Futures Trading Commission, Federal Reserve, Treasury, and other US and foreign agencies.

Before being confirmed as AAG, Ms. Caldwell had built a formidable national reputation in high-profile federal criminal matters. Most recently, she headed the White Collar and Government Investigations Practice as a partner at a major international law firm, where she led numerous investigations on behalf of board and audit committees, and represented numerous senior executives, board members, and general counsel in a broad array of enforcement and regulatory matters. She also previously held several leadership positions at DOJ. Most recently, she was chosen to lead the Enron Task Force, created by the DOJ in the wake of that company’s collapse. As Director of the Task Force, she led a team of more than 40 lawyers and agents and directed multiple complex investigations that led to the successful convictions of more than 30 individuals, including the top leaders of Enron. Before being named Director of the Enron Task Force, Ms. Caldwell served under Robert S. Mueller, III in the US Attorney’s Office for the Northern District of California, in San Francisco, where she was Chief of both the Criminal Division and the Securities Fraud Section. She also served in various leadership roles in the US
Attorney’s Office for the Eastern District of New York, where she tried more than 30 cases and briefed and argued more than 20 appeals.

Ms. Caldwell received the Attorney General’s Award for Exceptional Service, the Department of Justice’s highest award, for her performance as Director of the Enron Task Force. She also has received many other awards from the public and private sectors, including the Attorney General’s John Marshall Award, the highest award for trial performance, and the Attorney General’s Award for Fraud Prevention.

Education:
BA (Economics), Penn State University, summa cum laude, Phi Beta Kappa
JD, George Washington University, Articles Editor, George Washington Law Review, cum laude

Chuck Duross is a partner at Morrison Foerster and with more than a dozen years of experience focused on white-collar cases, Chuck has overseen FCPA investigations relating to business in more than 50 countries around the world. His practice is focused on white-collar criminal matters, including internal corporate investigations, compliance counseling, due diligence regarding third parties and business transactions, and defense of clients before government enforcement agencies. In addition, as a veteran trial attorney, Chuck has a proven track record in the courtroom in high-profile, high-stakes trials.

As the former Deputy Chief in the Fraud Section in the Criminal Division of the U.S. Department of Justice, Chuck led the FCPA Unit and was in charge of all of the DOJ’s FCPA investigations, prosecutions and resolutions in the United States. In that role, Chuck was internationally recognized for developing and implementing the government’s FCPA enforcement strategy and was widely credited with developing the current enforcement regime. Under his leadership, the FCPA Unit resolved more than 40 corporate cases resulting in approximately $1.9 billion in monetary penalties, and secured convictions of more than two dozen business executives and money launderers.

Once dubbed “Mr. FCPA,” Chuck has been repeatedly recognized by the Ethisphere Institute’s “Attorneys Who Matter” list, including most recently as a “Top Gun” based upon his expertise and experience. He has also been recognized by Chambers USA, Law360, The Legal 500 US, the Washington Post and the Washington Business Journal for his work on FCPA matters. Chuck is an adjunct professor at Georgetown University Law Center where he teaches trial advocacy, and he has been a guest lecturer on foreign corruption issues at Harvard Law School’s Program on the Legal Profession, the University of Michigan’s Law School and Ross School of Business, and Fordham Law’s Louis Stein Center for Law and Ethics.
Roger A. Fairfax, Jr. is Senior Associate Dean for Academic Affairs, Professor of Law, and Founding Director of the GW Law School Criminal Law Initiative.


Before joining the Law School faculty, Professor Fairfax served as a federal prosecutor in the Public Integrity Section of the Criminal Division of the U.S. Department of Justice, where he represented the United States in a broad range of public corruption investigations and prosecutions. During his time in the Attorney General's Honors Program, he also served details as Special Assistant U.S. Attorney in the Eastern District of Virginia and as special assistant to the Assistant Attorney General for the Criminal Division of DOJ. Following his government service, Professor Fairfax was a Counsel in the Washington, D.C. office of O'Melveny & Myers LLP, where his practice included white-collar criminal and regulatory defense, internal investigations, complex civil litigation, and strategic counseling, as well as pro bono indigent criminal defense, appellate, and civil rights litigation.

Professor Fairfax has engaged in expert consultation and pro bono representation in a number of grand jury, trial, and appellate matters in state, federal, and foreign courts, and is admitted to practice in the District of Columbia, Massachusetts, Maryland, and a variety of federal trial and appellate courts, including the United States Supreme Court.

Professor Fairfax has testified before Congress, spoken at the White House, and advised local, state, and national government officials and candidates on criminal justice policy. He worked on criminal justice reform as a Senior Legislative Fellow with the U.S. Senate Judiciary Committee's Subcommittee on Crime and Terrorism and as a Senior Fellow at Harvard Law School's Charles Hamilton Houston Institute. Professor Fairfax was appointed by Governor Martin O'Malley, and confirmed by the Maryland Senate, to the Board of Trustees of the Maryland Office of the Public Defender, and also served as Chair of the Criminal Justice Coordinating Commission in Montgomery County, Maryland.

Professor Fairfax has served as an elected member of the governing council of the American Bar Association Criminal Justice Section, and on the boards of the National Bar Association and the
Southeastern Association of Law Schools. He is a member of the advisory board of the Bloomberg/BNA White Collar Crime Report and the editorial board of the ABA's Criminal Justice magazine.

Professor Fairfax graduated with honors from Harvard College, the University of London, and Harvard Law School, where he served as an editor of the Harvard Law Review and was awarded the Heyman Fellowship for Government Service. Professor Fairfax clerked for Judge Patti B. Saris of the U.S. District Court for the District of Massachusetts and for Judge Judith W. Rogers of the U.S. Court of Appeals for the District of Columbia Circuit.

Professor Fairfax is a barrister of the Edward Bennett Williams Inn of Court, a Fellow of the American Bar Foundation, and an elected member of the American Law Institute.

Jean-Michel Ferat is a Senior Managing Director at Ankura with over 20 years of experience in the specialized fields of forensic accounting, fraud detection, and data analytics. He has applied his skills in a variety of cases involving corruption, kickbacks, collusive bidding rings, money laundering, embezzlement, asset misappropriation, terrorist financing, and financial statement fraud. He has led or participated in reactive investigations and proactive compliance engagements, including Foreign Corrupt Practices Act monitorships, across the United States and in over 25 countries around the world. Jean-Michel is also currently engaged as the forensic accounting expert on the audit and finance committee of the board of directors of the Global Fund to Fight Aids, Tuberculosis and Malaria. He is based in Washington, DC.

Jean-Michel has led or participated in numerous high-profile and complex projects across the United States and in international locations including Burkina Faso, Cambodia, Cameroon, China, Djibouti, Guinea, Holland, India, Indonesia, Iraq, Jordan, Kenya, Kuwait, Latvia, Liberia, Madagascar, Mali, Mauritania, Mexico, Pakistan, South Africa, Trinidad and Tobago, United Arab Emirates, and Vietnam.

Jean-Michel’s project experience includes the following:

● Leads teams of forensic accountants in support of multiple Department of Justice and Securities Exchange Commission FCPA monitorships.
● Leads a team of forensic accountants and internal controls specialists in a worldwide review of the anti-corruption compliance program of one of the world’s largest oil and gas corporations.
● Performs review of a large European medical device manufacturer and services provider’s FCPA compliance program and related internal controls in advance of settlement negotiations with regulators.
Led a team of forensic accountants and data analytics specialists (engaged by Special Master Louis Freeh) to identify and help mitigate fraud in the $10 billion BP Deepwater Horizon claims process.

Led a team of forensic accountants and data specialists to undertake fraud reviews and risk assessments of various international program locations of a large nonprofit organization.

Engaged by outside counsel for a Fortune 100 financial services company to identify, acquire, and analyze disparate data sets going back decades, for purposes of reconstructing revenue streams pursuant to a dispute with a joint venture partner.

Engaged by outside counsel for Kyrgyzstan government to assist in the potential recovery of funds allegedly embezzled by a former regime.

Led a review of the Dow Corning Trust claims settlement facility’s quality management and fraud detection procedures for this $1 billion settlement fund.

Co-led a high-profile forensic investigation in Islamabad for the Securities and Exchange Commission of Pakistan, into the sudden rise and collapse of the Karachi Stock Exchange index during March 2005.

Engaged by a European bank to provide forensic accounting and data analytics services in respect of a reactive investigation surrounding money laundering concerns.

Engaged to help defend the author of the book “Terrorist Hunter” against two libel suits and one constitutional tort action arising out of her investigation of Muslim charities’ alleged funding of terrorism (Mar-Jac Poultry v. Katz).

Engaged by the Global Fund to Fight AIDS, Tuberculosis and Malaria as an external forensic accounting expert for over five years to assist the inspector general in his investigations of fraud and corruption around the world.

Engaged by the World Bank as an external forensic accounting expert for over four years to assist the Integrity Vice Presidency in its investigations of fraud and corruption around the world.

Engaged as the lead forensic accountant in the investigation of bribes and kickbacks within the $64 billion United Nations Oil-for-Food Programme.

Assisted in the design of the 9/11 Victims Compensation Fund claims processing system as well as the establishment of claims review procedures for the U.S. Department of Justice’s September 11th Victim Compensation Fund.

Managed various aspects of the multiyear forensic accounting investigation of a large Swiss bank to uncover dormant accounts owned by Holocaust victims.

Managed various forensic accounting and technology aspects of the investigation into earnings management at Worldcom Inc.

Education:

BA, University of Maryland
Thomas Firestone is a partner at Baker McKenzie and represents clients in international white collar criminal and compliance matters, including FCPA, internal investigations and transactional due diligence. Prior to joining the Firm, he spent 14 years at the US Department of Justice. He worked as an Assistant US Attorney in the Eastern District of New York where he prosecuted transnational organized crime cases. He also worked as Resident Legal Adviser and, intermittently, Acting Chief of the Law Enforcement Section at the US Embassy in Moscow. In the latter capacity, he facilitated US-Russian law enforcement cooperation, assisted the Russian government in drafting new criminal legislation, advised the US government on policy issues related to criminal justice in Russia and twice won the US State Department Superior Honor Award. He is a member of the Firm’s Global Compliance & Investigations Steering Committee.

Thomas represents companies and individuals before the US Department of Justice, State Department, and other US and foreign agencies in connection with transnational criminal investigations, extradition proceedings and other sensitive matters with an international aspect. He also regularly advises foreign governments on issues relating to anti-corruption and the rule of law, and has represented individuals in Interpol matters.

Representative Legal Matters:

- Served as adviser to a former prime minister of an East European country in the largest corruption case in the country’s history.
- Obtained a declination from DOJ for an individual under investigation as an unregistered foreign agent in violation of the Foreign Agents Registration Act (FARA).
- Conducted internal investigations and risk assessments for US and European companies in various countries including Azerbaijan, Bulgaria, Kazakhstan, Kyrgyzstan, Pakistan, Russia, Ukraine and Uzbekistan.
- Obtained declinations from the DOJ for US companies in FCPA investigations into allegations of bribery in Nigeria and Kazakhstan.
- Advised the governments of Romania and Ukraine on anti-corruption reforms.
- Represented three Swiss banks in the US DOJ amnesty program.
- Served as US support lawyer for European monitor in connection with Deferred Prosecution Agreement with DOJ.

Education:
Harvard Law School (J.D. cum laude) (1993)
University of California at Berkeley (M.A. Soviet & East European Politics) (1987)
Harvard College (B.A. Slavic Languages and Literature magna cum laude) (1986)
**Richard Grime** is a litigation partner in the Washington office of Gibson, Dunn & Crutcher’s Washington, DC office and a member of the White Collar Defense and Investigations Practice Group and the Securities Enforcement Practice Group.

Mr. Grime’s practice focuses on representing companies and individuals in corruption, accounting fraud, and securities enforcement matters before the Securities and Exchange Commission and the Department of Justice. Mr. Grime also conducts internal investigations and counsels clients on compliance and corporate governance matters with a particular focus on corruption investigations.

Prior to joining Gibson Dunn, Richard spent over nine years in the Division of Enforcement at the Securities and Exchange Commission in Washington, DC. In his last four years at the Commission he was an Assistant Director. Mr. Grime is currently highly ranked in *Chambers USA* Nationwide FCPA and *Chambers Global* FCPA and is included in *The Best Lawyers in America*© in the field of Criminal Defense: White Collar. He is also a longstanding and frequent speaker to legal and industry practitioners particularly on anti-corruption and securities enforcement topics.

Mr. Grime received his law degree from Oxford University.

---

**William Jacobson** (Billy) is a partner in the White Collar and Criminal Investigations group at Orrick, Herrington and Sutcliffe, LLP, based in Washington, D.C., where he represents corporations and individuals in white collar matters. Billy is currently serving as an independent compliance monitor of a large Brazilian petrochemical company, having been appointed by the DOJ and SEC. From 2009 until July 2014, Billy was the Senior Vice President, Co-General Counsel and Chief Compliance Officer for Weatherford International, a large oilfield services company with operations in 100+ countries. Billy served as a federal prosecutor at the Fraud Section of the U.S. Department of Justice’s Criminal Division for approximately 6 years. His last stint at DOJ was as Assistant Chief for FCPA Enforcement where he was responsible for roughly half of all FCPA investigations and prosecutions. In this role, he also spent a considerable amount of time liaising with the SEC, FBI and foreign prosecutors, including attending meetings of the OECD Working Group on Bribery. Billy started his career prosecuting street crime in New York City.
Amy Jeffress represents clients in criminal defense, national security, government contracts, and compliance matters. She conducts internal investigations and advises companies and individuals on export enforcement, FCPA, classified information, the Foreign Agents Registration Act (FARA), and mutual legal assistance and other international law enforcement issues, including extradition and INTERPOL notices.

Before joining the firm, Ms. Jeffress served as the Justice Department Attaché to the US Embassy in London, where she coordinated cooperation between US and UK authorities on criminal matters. She previously served as Counselor to the Attorney General, advising the Attorney General and senior Department leadership and interfacing regularly with the White House and National Security Council, the intelligence community, and other agencies on national security investigations and prosecutions and international matters.

Ms. Jeffress tried more than 40 cases during her 13 years as a federal prosecutor with the US Attorney's Office for the District of Columbia. She served as Chief of the National Security Section, conducting and supervising investigations and prosecutions of international and domestic terrorism, espionage, export control violations, and other crimes related to national security.

Representative Matters:

- **Multiple companies and individuals** in government and internal investigations relating to export enforcement, including alleged violations of the Export Administration Regulations (EAR), the International Traffic in Arms Regulations (ITAR), Office of Foreign Assets Control (OFAC) sanctions, and United Nations sanctions. Gained specific experience involving sanctions relating to China, Iran, Russia, and Cuba.
- **Companies and individuals** on a range of matters relating to national security, including handling of classified information and security clearances.
- **Former State Department official** in high-profile Department of Justice investigation; successfully obtained declination.
- **Numerous individuals** in white collar criminal investigations, during Grand Jury proceedings and at trial.
- **Companies** seeking guidance on FCPA, anti-bribery, anti-money laundering, OFAC, and SEC compliance.
- **Public company** in internal investigation of SEC whistleblower allegations.
- **Individuals** challenging INTERPOL Red Notices; obtained decisions from INTERPOL to delete or block Red Notices in four separate individual matters.
- **Multiple individuals** challenging extradition to and from the United States.

**Education:**

JD, Yale Law School, 1992

Diploma, Political Science, Freie Universität Berlin, 1989

BA, Political Science, Williams College, 1987, magna cum laude
**Edward (Ted) Kang** is co-leader of Alston & Bird’s Government & Internal Investigations Team. His practice focuses on white collar defense and compliance with the Foreign Corrupt Practices Act, False Claims Act, and Office of Foreign Assets Control sanctions.

Ted regularly represents companies and individuals under investigation by the Department of Justice (DOJ), Securities and Exchange Commission, and other federal and state enforcement agencies. He counsels and conducts internal investigations for clients in an array of industries. Ted has a robust complex commercial litigation practice, particularly in the healthcare industry. He is an accomplished courtroom lawyer and has tried a dozen cases to verdict and argued cases before federal circuit courts.

Ted served for eight years as a federal prosecutor in the DOJ Criminal Division and at the U.S. Attorney’s Office for the District of Connecticut, where he prosecuted some of the department’s most high-profile fraud and public corruption cases. He is a recipient of the 2016 National Asian Pacific American Bar Association’s (NAPABA) “Best Under 40 Award” as well as the 2012 Attorney General’s Award for Distinguished Service. In 2014, he was named a D.C. “Rising Star” by *The National Law Journal*.

Ted is frequently called upon to provide expert analysis on white collar matters, including for the *Washington Post* and *The Wall Street Journal* and as a television analyst for WUSA9-DC.

---

**Obiamaka (Obi) Madubuko** focuses her practice on anti-corruption and fraud matters and advises U.S.-based companies doing business in international markets.

Obi advises companies on a host of compliance and transactional due diligence issues arising under the Foreign Corrupt Practices Act (FCPA), UK Bribery Act, the Dodd-Frank Act, Office of Foreign Asset Control (OFAC) and other global trade regulations, including cybersecurity defense and data breach response. She also assists clients with internal investigations, risk assessments and independent audits, as well as drafting, evaluating and updating corporate policies to ensure compliance.

In addition to her corporate advisory work, Obi is an experienced trial lawyer who has defended individuals and corporations in complex civil litigation and white collar criminal cases. She has represented clients before state and federal courts and agencies, including the United States Congress, the United States Department of Justice, the Securities and Exchange Commission, the
Equal Employment Opportunity Commission, the Federal Election Commission, and other federal and state authorities.

Obi has represented clients in complex litigation matters across a broad range of industries including aerospace and defense, consumer products, energy and mining, financial services, health care, intellectual property, manufacturing, media and entertainment, real estate, securities specialty chemicals, technology and telecommunication, and white-collar crimes. She also represents clients in international litigation matters and has assisted clients to procure documents and witness testimony from foreign persons and entities located outside the United States; litigated claims against foreign companies seeking immunity under the Foreign Sovereign Immunities Act; and represented corporate clients in civil actions to enforce domestic judgments against foreign entities.

Obi has an active pro bono practice where she represents clients in domestic violence, immigration and asylum cases, in addition to mentoring young lawyers, law students and high school students interested in pursuing careers in law.

Prior to joining the firm, Obi was a partner at an international law firm where she served as co-chair of the Foreign Corrupt Practices Act (FCPA) & International Anti-Corruption Group and was in private practice in New York and Washington, D.C. She also served as a federal law clerk for the Honorable Constance Baker Motley of the United States District Court for the Southern District of New York.

Education:
J.D., cum laude, Harvard Law School, 1998
M.A., magna cum laude, Boston University, 1995
B.A., magna cum laude, Boston University, 1995

Kwame Manley is a partner in the Investigations and White Collar Defense practice at Paul Hastings and is based in Washington, D.C. He focuses his practice on white-collar criminal defense, internal corporate investigations, and complex civil litigation. A former federal prosecutor, Mr. Manley defends individuals and companies before various agencies, including the United States Department of Justice (DOJ), Office of Foreign Assets Control (OFAC), Securities and Exchange Commission (SEC), and other entities. His experience includes defending matters involving alleged U.S. sanctions violations, bank fraud, money laundering, procurement fraud, and investigations under the Foreign Corrupt Practices Act (FCPA) and the False Claims Act (FCA). Among his professional recognitions, Mr. Manley was part of “Most Impressive Investigations Practice” as the 2017 Award Winner, Global Investigations Review. He has been listed in Super Lawyers, Washington, D.C., Criminal Defense: White Collar (2014 - 2018), and was given the Director’s
Award for Superior Performance by Attorney General Eric Holder. Mr. Manley earned his J.D. at Harvard Law School, where he was Notes Editor of the Harvard Law Review and also received a Master in Public Administration degree from the Harvard Kennedy School of Government. He earned his B.A., *Phi Beta Kappa, summa cum laude*, from Morehouse College.

---

**Frances McLeod** is a founding partner of FRA and head of its US offices. She is a former investment banker and has over 25 years of experience advising diverse clients on sanctions, anti-corruption, fraud, internal control, asset tracing, and money laundering issues. Frances has been deeply involved in all of FRA’s compliance monitorship work, to include US DOJ and SEC FCPA monitorships, a New York Department of Financial Services bank monitorship, the Ferguson City monitorship, a PCAOB monitorship and a US DOJ fraud-related monitorship.

She led the team providing technical advice to the Asia Pacific Group on money laundering under a mandate from the Asian Development Bank, drawing on her knowledge of alternative banking systems and offshore havens, and has provided expert advice in terrorism financing litigation cases. She has also served as an expert witness on counter terror financing in litigation involving an international bank. She led FRA’s UN Oil-For-Food (OFF) investigation work, including an analysis of the function of the OFF escrow account managed from New York by a global bank.

Frances has extensive experience in addressing complex international data transfer issues whether in regulatory investigations or cross border litigation. She led the FRA team responding to anti-corruption investigation data requests in all jurisdictions for Alstom in the US, UK, Brazil, Indonesia, Poland, Sweden, etc., which included addressing French data privacy and Blocking Statute issues. She is leading FRA’s GDPR compliance initiative leveraging FRA’s decades of experience in addressing data protection issues in cross-border litigation and investigation. An Oxford graduate and former investment banker, Frances was responsible for the design and implementation of claim evaluation and administration systems for the US$ 1.3 billion Swiss Bank and US$ 2.5 billion German Slave Labor Holocaust settlements.

Frances speaks English, German, French, and Mandarin Chinese. Prior to co-founding FRA in 1999, Frances worked in the mergers and acquisitions divisions of Lazard and Schroders in London and HSBC in Indonesia. She holds a Master’s degree from Wadham College, Oxford.
Forensic Accountants 2018 says: The "outstanding" Frances McLeod is a highly respected expert who sources describe as "a pleasure to work with", adding that she is "excellent with clients" who consider her "creative and extremely easy to work with".

Mark Mendelsohn is a partner in the Litigation Department of Paul, Weiss, Rifkind, Wharton & Garrison LLP, Mark F. Mendelsohn is co-chair of the Anti-Corruption & FCPA Group, a member of the firm’s White Collar and Regulatory Defense, and Securities Litigation Practice Groups. Prior to joining Paul, Weiss, Mr. Mendelsohn served as the deputy chief of the Fraud Section of the Criminal Division of the United States Department of Justice (DOJ), and is internationally acknowledged and respected as the architect and key enforcement official of DOJ’s modern Foreign Corrupt Practices Act (FCPA) enforcement program. He is widely known for dramatically increasing the level of sophistication of FCPA enforcement globally and for underscoring the importance of anti-corruption compliance. Prior to joining the Fraud Section, Mr. Mendelsohn was senior counsel in the DOJ’s Computer Crime and Intellectual Property Section in Washington, D.C., and prior to that served for nearly six years as an assistant U.S. attorney in the Southern District of New York.

Education:
J.D., University of Virginia School of Law, 1993
B.A., Yale University, 1989

Kimberly Parker is a partner at Wilmer Cutler Pickering Hale and Dorr in Washington. Ms. Parker co-leads the firm’s Foreign Corrupt Practices Act (FCPA) and Anti-Corruption Practice. She has represented companies and individuals in a variety of FCPA enforcement matters, including defense company Armor Holdings in its July 2011 FCPA settlement involving conduct related to the United Nations, oil driller Helmerich & Payne in its July 2009 FCPA involving payments to customs officials in Argentina and Venezuela, and the Titan Corporation in its landmark 2005 FCPA case. She has conducted internal investigations in the United States, Asia, Africa, Europe, and Latin America. She regularly counsels clients on FCPA compliance, assists in developing and conducting FCPA training and compliance programs, and conducts anti-corruption due diligence and risk assessments. In addition to co-authoring several articles on the FCPA, Ms. Parker is a co-author, with Roger M. Witten and Jay Holtmeier of the firm’s New York office, of a leading
treatise in the field, *Complying with the Foreign Corrupt Practices Act*. Ms. Parker is ranked for FCPA work in *Chambers USA: America's Leading Lawyers in Business*.

---

**Laura Perkins** is a partner at Hughes Hubbard & Reed LLP, where she focuses on Foreign Corrupt Practices Act and white collar criminal investigations and related matters, including government enforcement actions and compliance counseling. Prior to joining the firm, Laura spent nearly ten years prosecuting white collar criminal cases at the Department of Justice, most recently serving as an Assistant Chief in the FCPA Unit at the Department of Justice, Criminal Division, Fraud Section. During her time in the FCPA Unit, Laura supervised, investigated, and prosecuted hundreds of FCPA cases against individuals and corporations. She also represented the United States on the Organisation for Economic Co-operation and Development (OECD) Anti-bribery Working Group, worked with foreign law enforcement authorities on case related and policy matters, and supervised several compliance monitors. Laura also successfully investigated and tried complex securities fraud cases and healthcare fraud cases and served as Senior Counsel to the Assistant Attorney General, where she advised the AAG and other DOJ leaders on issues related to complex economic criminal prosecutions.

---

**Cheryl Scarboro**, formerly the first Chief of the SEC’s FCPA Unit, is a Partner in the Washington, D.C. office of Simpson Thacher & Bartlett LLP and a member of the Firm’s Government and Internal Investigations Practice. She represents corporations, financial institutions and individuals in government investigations and other regulatory enforcement proceedings. Cheryl handles sensitive internal investigations on behalf of management, boards, and special committees, and routinely counsels clients on compliance programs, SEC reporting, disclosure and corporate governance requirements.

Selected representations include:

- Global telecommunications company in an SEC/DOJ inquiry regarding alleged payments to government officials in various countries;
- Large financial institution in an SEC investigation into potential violations of the FCPA in Asia;
- Defense contractor in connection with an investigation by the SEC into the accounting of government contracts;
- Corporate executive in an FCPA investigation by the SEC concerning alleged misuse of corporate sponsorship benefits; and
- Special committee of a publicly-traded professional services firm in an investigation by the SEC related to alleged accounting improprieties.

Cheryl joined the Firm following a 19-year tenure at the SEC, where she led significant investigations involving a broad variety of subjects, including alleged violations of the FCPA, the Investment Advisers Act, issuer accounting fraud and other disclosure violations, market manipulation, broker-dealer misconduct and insider trading.

As head of the SEC’s FCPA practice, Cheryl played a role in all of the SEC’s recent major FCPA cases and acted as the SEC liaison with the Department of Justice (DOJ) and regulators around the world. Cheryl led the SEC’s investigation of Siemens, A.G., which resulted in an unprecedented $1.6 billion settlement with the SEC, DOJ and German regulators, the largest settlement to date under the FCPA. She also led investigations that resulted in actions against 15 companies and three individuals charged with making illicit payments to the Iraqi government in order to win contracts under the United Nation’s Oil-for-Food Program. In the FCPA investigation of a Luxembourg-based global steel pipe supplier and manufacturer, Cheryl pioneered the SEC’s first use of a deferred prosecution agreement as a means of resolving the investigation in a manner that recognized the entity’s cooperation.

Cheryl held numerous other roles while at the SEC, including serving as an Associate Director in the Division of Enforcement and as counsel to former SEC Chairman Arthur Levitt. She was recently recognized as one of the “25 Most Influential Women in Securities Law” by Law360, and is consistently listed in the “Top 250 Women in Litigation” by Benchmark Litigation where market commentators describe her “huge place in the FCPA world”; “She was the leader of the SEC in the FCPA field and brings a wealth of experience to the table.” In addition, Cheryl was named to the “Enforcement 40” by the Securities Enforcement Forum, she is consistently recommended by The Legal 500 in white collar, and was named a National and Washington, D.C. “Litigation Star” by Euromoney's Benchmark Litigation in white collar.

Cheryl regularly speaks at conferences on subjects relating to FCPA and anti-corruption, compliance and enforcement.

She earned her J.D. from Duke University School of Law in 1989 and her B.A. from University of Alabama in Huntsville in 1986.
Andrew Spalding teaches and writes in the area of international anti-corruption law. He is Senior Editor of the FCPA Blog and an instructor at the U.N.-founded International Anti-Corruption Academy in Austria. His scholarship has appeared in the UCLA Law Review, Washington University Law Review, and many other academic venues, and has been covered by the New York Times, Wall Street Journal, Economist, Atlantic, Forbes, and National Public Radio. Professor Spalding was previously a Fulbright Senior Research Scholar in Mumbai, India, and was the founding Chair of the of the American Society of International Law’s Anti-Corruption Law Interest Group. A J.D./Ph.D., he regularly travels throughout Europe, Asia, Africa, and Latin America to conduct research and lecture at law and business schools, and has served as a lecturer, panelist, or invited keynote speaker at scores of conferences and meetings for both academics and practitioners. Professor Spalding is the lead author in the Olympic Anti-Corruption Report: Brazil and the Rio 2016 Games.

Education:
Ph.D., University of Wisconsin-Madison
J.D., University of Nevada, Las Vegas
B.A., Whitman College

William (Bill) Steinman has been providing advice to multinational companies regarding the Foreign Corrupt Practices Act (the “FCPA”) and other anti-corruption laws for over twenty-four years, and is considered to be one of the leading FCPA lawyers in the United States. Prior to the establishment of Steinman & Rodgers, Mr. Steinman was the head of the international practice group at a major U.S. law firm.

Mr. Steinman's practice focuses primarily on FCPA issues in the defense and aerospace and food industries, and he is considered an authority on the engagement of overseas sales representatives, consultants, distributors/resellers and logistics services providers. Mr. Steinman is also frequently called upon to assist clients with respect to internal investigations and compliance reviews. Mr. Steinman regularly advises clients in the negotiation and fulfillment of foreign offset requirements, including compliance with the Feingold Amendment. He has also represented foreign sovereigns in West Africa and the Caribbean Basin regarding anti-corruption and development matters.

Mr. Steinman is a contributing editor of the FCPA Blog, the leading online source for FCPA news and developments. He is an adjunct professor at Catholic University’s Columbus School of Law and Roger Williams University School of Law, where he teaches advanced FCPA seminars,
and at George Washington University Law School, where he teaches a course on international negotiation. He previously served as Vice Chair of the ABA International Section's Aerospace and Defense Industries Committee. Between 2003 and 2007, Mr. Steinman served on the Board of Directors of TRACE International, a leading non-profit association that specializes in promoting anti-corruption compliance in international business. In 2011, Mr. Steinman served as a delegate to the World Justice Forum in Barcelona, Spain. He is a frequent speaker on anti-corruption matters, and has addressed the Aerospace General Counsels Association and the International Forum on Business Ethical Conduct for the Aerospace and Defense Industry.

Mr. Steinman received his J.D. from the Harvard Law School in 1993, and graduated summa cum laude from the University of Vermont in 1990.

---

**James Tillen** is Vice Chair of the Miller & Chevalier International Department. His practice focuses on Foreign Corrupt Practice Act (FCPA) and money laundering matters. He has had significant experience with every facet of an FCPA enforcement matter, from inception to completion, including developing work plans for internal investigations, conducting internal investigations (including in-country witness interviews and document collections and reviews), developing remediation strategies (including employee discipline, compliance program enhancements, and employee training), drafting voluntary disclosures to the U.S. government, negotiating resolutions with the U.S. government, developing strategies for collateral issues (including public relations and related litigation), selecting independent monitors, and interfacing with independent monitors on behalf of clients. Mr. Tillen also has managed FCPA due diligence reviews and compliance audits, drafted numerous FCPA compliance programs, developed FCPA training programs, and performed FCPA training for client operations throughout the world.

---

**Jessica Tillipman** is the Assistant Dean for Field Placement and Professorial Lecturer in Law at The George Washington University Law School. In addition to managing the law school’s externship program, she teaches an anti-corruption and compliance course that focuses on anti-corruption, ethics and compliance issues. She also regularly advises foreign governments and companies on anti-corruption and compliance issues.

Prior to joining GW Law, Dean Tillipman was an associate in Jenner & Block’s Washington, DC office, where she was member of the firm’s Government Contracts and White Collar Criminal Defense and Counseling practice groups. She joined Jenner & Block after serving as a law clerk to the Honorable Lawrence S. Margolis of the U.S. Court of Federal Claims.

Tamika Tremaglio is the Deloitte Managing Principal for the Greater Washington area which has over 11,000 practitioners. She has served as the East Regional Leader for Deloitte Risk and Financial Advisory, and on the firm’s Board Council, Next Generation of Leaders and U.S. Nominating committee, in which Deloitte U.S. named its first female CEO – the first of the Big 4! Tamika led our Chief Legal Officer program in the U.S. for four years, was the former Dean for our Next Generation of CLO’s Academy and currently serves on the Deloitte Transactions and Business Analytics LLP (DTBA) Board as Corporate Secretary. Tamika has significant experience in global forensic accounting investigations, anti-corruption investigations and corporate governance matters in a number of industries. She has been involved in matters with the SEC and Department of Justice where issues surrounding executive conduct and whistleblower allegations have been called into question. She is a member of the National Bar Association, Women in Power and Influence in the Law Superstars and the Women of Excellence Network. Tamika serves her community in many ways by serving as the Vice Chairman and Audit Committee Chair of the National Aquarium in Baltimore, Advisory Board of the National Bar Association’s Commercial Law Section, President of the Women’s National Basketball Players Association Foundation, and is on the boards of the United Way, Greater Washington Board of Trade, Tuskegee University and the Calverton School.

Tamika holds an MBA and a JD and has been a frequent lecturer on corporate governance and global anti-corruption investigations and compliance issues. In 2017, the Washington Business Journal honored Tamika as Women Who Mean Business and Power 100: Washington’s most influential newsmakers and the Washingtonian magazine recognized her as one of the Top 100 Most Powerful Women in Washington, DC. She is a recipient of the NBA Commercial Law Section: 2018 Cora T. Walker Award, the National Bar Association’s Cora T. Walker Legacy Award in 2013 and the University of Maryland Francis King Carey School of Law Black Law Student Association’s 2014 Alumna of the Year Award. Tamika has also been recognized as one of the Top 40 under 40 by both the National Bar Association and Business Forward and most recently Tamika was featured in Essence magazine’s Power & Money List 2014 in which she was recognized as a “Game Changer” with the likes of Queen Latifah.